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Short communication

Recycling of spent ion-lithium batteries as cobalt hydroxide, and cobalt oxide films formed under a conductive glass substrate, and their electrochemical properties



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HIGHLIGHTS

- Cobalt recycling as Co(OH)₂ and Co₃O₄ films formed under a conductive glass.
- Morphological and structural characterization of recycled Co(OH)₂ and Co₃O₄ films.
- Electrochemical properties of Co(OH)2 and Co3O4 films under a conductive glass.

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ABSTRACT

In this work, $Co(OH)_2$ and Co_3O_4 films have been obtained using a solution to leach the cathodes of spent Li-ion batteries. The $Co(OH)_2$ is electrodeposited onto conductive glass by the application of -0.85 V, with a charge density of 20 C cm⁻², and its efficiency is found to be 66.67%. The Co_3O_4 film is obtained by heat treatment of the $Co(OH)_2$ film at 450 °C for 3 h, in an air atmosphere, with a conversion efficiency of 64.29%. The cyclic voltammetry of $Co(OH)_2$, in KOH 1.0 mol L^{-1} shows: three anodic peaks in the first cycle associated with the oxidation of $Co(OH)_2$ to Co_3O_4 , the conversion of Co_3O_4 into CoOOH, and the formation of CoOOH to CoO_2 and the oxidation of water. The absence of cathodic peaks shows that oxidation from $Co(OH)_2$ to CoO_2 is an irreversible process. For the Co_3O_4 electrode, this verifies the existence of a redox pair associated with reversible oxidation of the Co_3O_4 to CoO_2 . The Co_3O_4 obtains a charge efficiency of 77% for the first 10 cycles (1.0 mV s^{-1}) and a specific capacitance of 31.2 F g^{-1} (1.0 mV s^{-1}) and 10.5 F g^{-1} (10 mV s^{-1}) . Co_3O_4 films have promising applications as pseudocapacitors.

1. Introduction

Worldwide economic expansion has brought about the phenomenon known as globalization, which has been facilitated by technological advances. As a result, there is a growing use of electronic devices that are dependent upon energy accumulators, especially portable devices, such as mobile devices, notebooks, smartphones, implantable medical devices, hybrid electric vehicles, etc. [1].

Today, Li-ion batteries is one of the primary models used in electronic devices because they have high energy density, low selfdischarge rate, long life cycle, high electrical potential difference, and low environmental impact [2]. The cathode of a Li-ion battery consists of a LiCoO₂ layer over an aluminum current collector. The anode consists of carbon materials over a copper current collector, and the electrolyte contains inorganic Li salt dissolved in organic solvents [3,4].

Because of the increasing demand for electronic devices, environmental and economic concerns have surfaced. One example of these concerns is the discarding of the devices when they are depleted and the shortage of raw materials used to make the devices. With that being said, the technological advances in the search for more economical, efficient, and environmentally compatible materials, as well as the development of techniques for recycling these devices, is extremely relevant to society.

The recovery of cobalt, in the form of $Co(OH)_2$ and Co_3O_4 , from the cathodes of Li-ion batteries is an interesting proposal because, according to the London Metal Exchange (LME) in March 2014, the price of cobalt was US\$ 30.2 kg⁻¹ [5]. Consequently, $Co(OH)_2$ and

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 ${\rm Co_3O_4}$ films have received increasing attention from researchers because they have features that are compatible with various technological applications, such as supercapacitors, electrodes for batteries, electrochromic devices, as well as functioning as an additive for electrodes of ${\rm Ni(OH)_2}$, and as a catalyst [6–18]. The ${\rm Co(OH)_2}$ and ${\rm Co_3O_4}$ films have been prepared via electrodeposition in solutions that contain nitrate ions for application as supercapacitors, electrochromic devices, as an additive for electrodes of ${\rm Ni(OH)_2}$, and as a catalyst. The alkalinization of the electrode/solution interface occurs due to the reduction of both nitrate and water. Then, the precipitation of ${\rm Co(OH)_2}$ occurs, as can be seen in the following equations [19–21]:

$$NO_3^- + H_2O + 2e^- \rightarrow NO_2^- + 2OH^-$$
 (1)

$$2H_2O + O_2 + 4e^- \rightarrow 4OH^- \tag{2}$$

$$Co^{2+} + 2OH^{-} \rightarrow Co(OH)_{2}$$
 (3)

This work aims to associate the electrochemical recycling of the cobalt that is present in Li-ion depleted batteries with the properties of recycled $Co(OH)_2$ and the Co_3O_4 films formed under glass substrate coated with indium-doped tin oxide (ITO) for possible applications in pseudocapacitors, electrochromic windows, and as catalysts. In this study, Co_3O_4 was obtained by heat treatment of electrodeposited $Co(OH)_2$ on ITO. The Co_3O_4 films obtained from the heat treatment of $Co(OH)_2$ demonstrates good efficiency and reversibility in the voltammetric and galvanostatic charge and discharge cycles. The electrochemical behavior of the materials was evaluated by cyclic voltammetry and the charge and discharge cycles were observed. For characterization, X-ray diffraction (XRD) and scanning electron microscopy (SEM) techniques were applied.

2. Experimental procedure

2.1. Preparation of the electrodeposition bath

Spent Samsung Li-ion batteries were dismantled manually and separated into their basic components: cathode, anode current collector, and involucre. The cathode was dried at 120 °C for 24 h. After drying, the cathode was placed in distilled water at 40 °C for one hour. During this period, separation of the active material (LiCoO₂) from the current collector (Al) occurs. After separation from the current collector and washing, the active material was dried at 60 °C for 24 h.

For preparation of the electrodeposition bath, 10.0 of the cathode material was dissolved in 1.0 L of HNO $_3$ 3.0 mol L $^{-1}$ solution with stirring for 2 h at 80 °C. The dissolution of the cathode solution was filtered, removing the insoluble materials, such as carbon black, that make up the electrode. The dissolution process is represented by the following chemical equation:

$$\begin{aligned} 4 Li CoO_{2(s)} + 12 HNO_{3(aq)} &\rightarrow 4 Li NO_{3(aq)} + 4 Co(NO_3)_{2(aq)} \\ &+ 6 H_2 O_{~(1)} + O_{2(g)} \end{aligned} \tag{4}$$

2.2. Preparation and characterization of the $\text{Co}(\text{OH})_2$ and Co_3O_4 films

The pH of the dissolution of the cathode solution was 6.5 with the addition of KOH powder, and the solution was buffered with $\rm H_3BO_3$ 0.1 mol $\rm L^{-1}$. The working electrodes were made with glass coated with a film of indium-doped tin oxide (ITO), with an active area of 0.5 cm². The ITO substrates were washed with detergent and distilled water, and then they were placed in an ultrasound bath for

10 min. Afterwards, they were cleaned with ethanol, acetone, and isopropyl alcohol and finally dried in a room environment temperature. The counter electrode was graphite with 99% purity and an area of 3.0 cm².

The parameters for the electrodeposition of the Co(OH)₂ films under ITO were determined through cyclic voltammetry (CV), with a scan rate of 10.0 mV s^{-1} , beginning from 0.50 V and switching to the cathode potential of -1.10 V and then returning to 0.50 V vs Ag/ Ag/Cl. The electrodeposition of Co(OH)₂ on the ITO substrate was performed by applying the potential of -0.85 V vs Ag/AgCl and the charge density of 20.0 C cm $^{-2}$. A mass of 0.0032 g (66.67% relative to theoretical mass of 0.0048 g), of the Co(OH)₂ precursor material was obtained by potentiostatic electrodeposition on ITO. The mass efficiency was of 66.67% because the electrodeposition of Co(OH)₂ onto the ITO substrate occurs after alkalinization of the electrode/ solution interface through nitrate reduction. After alkalinization of the electrode solution interface and the solubility of the product is achieved, the Co(OH)₂ film precipitates onto the ITO. The Co(OH)₂ film is nonconductive and blocks the passage of current. Therefore, only part of the charge is used to reduce the nitrate ion and subsequent precipitation of Co(OH)₂. After the Co(OH)₂ films were formed on ITO by electrodeposition, the electrodes (Co(OH)₂ films and ITO substrate) were washed and calcined at 450 °C for 3 h to obtain Co₃O₄ [22]. The chemical transformation that the calcinated material underwent can be represented by the chemical Equation (5): [19]

$$6Co(OH)_2 + O_2 \rightarrow 2CO_3O_4 + 6H_2O$$
 (5)

The efficiency of conversion of $Co(OH)_2$ to Co_3O_4 is 64.29% w/w due to the loss of material during washing and calcination. The mass loss could be caused by lack of adhesion between the $Co(OH)_2$ film and ITO substrate.

The electrochemical properties of $Co(OH)_2$ occurred in the range of -0.4 V to 0.6 V, and returned to -0.4 V to 0.5 V. The electrochemical properties of the Co_3O_4 electrodes were analyzed using cyclic voltammetry (CV); the initial potential of those electrodes ranged from 0.4 V to the vertex potential of 0.6 V, and then the potential was returned to 0.4 V, with rate scans of 1.0 m V $^{-1}$ and 10 m V $^{-1}$. The reference electrode and the counter electrode were Hg/HgO and the graphite (area 3.0 cm 2), respectively. The electrolyte solution used was KOH 1.0 mol L $^{-1}$ without stirring at 2.5 °C. The galvanostatic charge and discharge cycles are made with current of 111.1 mA g $^{-1}$, the charge and discharge time of 60 s, and the active material mass of 0.0018 g.

All the electrochemical measurements were performed using Autolab PGSTAT 302N potentiostat/galvanostat equipment with an electrochemical impedance spectroscopy module (EIS), coupled without stirring at 25 $^{\circ}$ C.

The X-ray diffraction measurements were performed using a BRUKER model D2 PHASER® with Cu Ka radiation ($\lambda=1.5406~\text{Å}$) and a scan rate of 2 min $^{-1}$. Scanning Electron Microscopy (SEM) of the Co(OH) $_2$ and Co $_3$ O $_4$ were performed using a scanning electron microscope, IEOL 6610LV.

3. Results and discussion

3.1. Electrochemical formation and characterization of the $Co(OH)_2$ film growth on the ITO substrate

The cyclic voltammogram for the electrodeposition of the $Co(OH)_2$ films on the ITO substrate at a scan rate of 10 mV s $^{-1}$ is represented in Fig. 1. The formation of $Co(OH)_2$ on the surface of the electrodes occurs in the electrochemical and chemical steps. In a direct scan there is an increase in the current density from -0.6 V

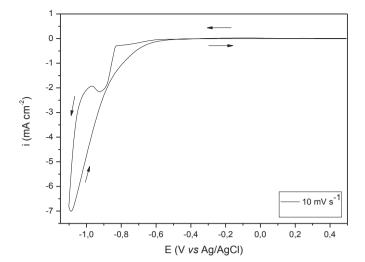


Fig. 1. Typical cyclic voltammogram for cobalt hydroxide electrodeposition on ITO substrate, at scan rate of 1.0 mV s^{-1} .

and a peak at -0.9 due to the reduction of the nitrate ions and the water that contains dissolved oxygen. The alkalizing of the electrode/solution interface and the formation of $Co(OH)_2$ on ITO occurs, as described in Equations (1)-(3). From a potential ranging from -0.9 V to -1.1 V, and in the reverse scanning in which the potentials range from -1.1 V to -0.6 V, the reduction reactions of the nitrate ions, of the water, and the precipitation of $Co(OH)_2$ occur simultaneously.

The Co(OH)₂ films were prepared by applying the potential of -0.85 V vs Ag/AgCl and the charge density of 20.0 C cm $^{-2}$. The cyclic voltammetry technique was applied to evaluate the behavior of the Co(OH)₂ film in a 1.0 mol L $^{-1}$ KOH solution. Fig. 2 shows the cyclic voltammograms obtained for the Co(OH)₂ electrode on the 1st and 10th cycles at 10 mV s $^{-1}$. In the 1st cycle, three anodic peaks are observed at 0.19 V, 0.30 V, and 0.35 V, but no cathodic peaks are observed. The peak at 0.19 V is associated with the oxidation of Co(OH)₂ to Co₃O₄. In the peak at 0.30 V, the Co₃O₄ becomes CoOOH; at 0.35 V, CoOOH converts to CoO₂ and the water undergoes oxidation. These results indicate that the Co(OH)₂/ITO electrode is an interesting catalyst to promote water oxidation. The absence of cathodic peaks suggests that the oxidation of Co(OH)₂ to CoO₂ is an

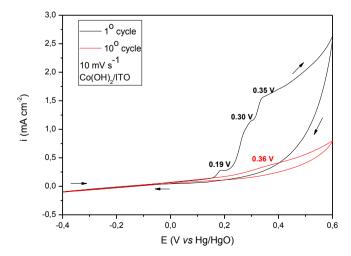


Fig. 2. Typical cyclic voltammograms performed for $Co(OH)_2$ film on ITO at 10 mV s⁻¹ in KOH 1.0 mol L^{-1} .

irreversible process. The chemical Equations (6)–(9) describe that process [23–26], as follows:

The peak at 0.19 V:

$$3Co(OH)_2 + 2OH^- \rightarrow Co_3O_4 + 4H_2O + 2e^-$$
 (6)

The peak at 0.30 V:

$$Co_3O_4 + H_2O + OH^- \rightarrow 3CoOOH + e^-$$
 (7)

The peak at 0.35 V:

$$CoOOH + OH^{-} \rightarrow CoO_{2} + H_{2}O + e^{-}$$
 (8)

$$40H^{-} \rightarrow 0_{2} + 2H_{2}O + 4e^{-} \tag{9}$$

3.2. Electrochemical properties of the Co₃O₄ films

Fig. 3 shows the cyclic voltammograms for the Co_3O_4 films. As can be seen, there are anodic and cathode peaks at 0.54~V and 0.49~V, respectively, for the scan rate of $1.0~mV~s^{-1}$. For the scan rate of $10~mV~s^{-1}$, there are anodic and cathode peaks at 0.56~V and 0.46~V, respectively, indicating that the active material Co_3O_4 undergoes a reversible transformation to CoOOH, according to Equation (10) [27,28]:

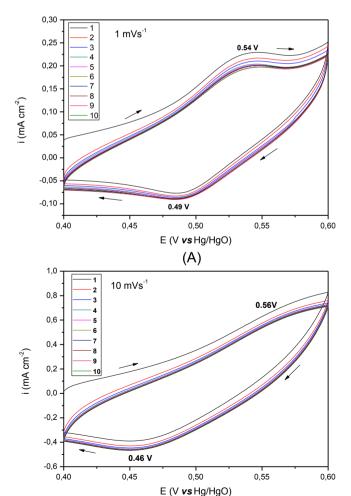


Fig. 3. Typical cyclic voltammograms performed for Co_3O_4 on ITO, A) at 1.0 mV s^{-1} and B) at 10 mV s^{-1} in KOH 1.0 mol L^{-1} .

(B)

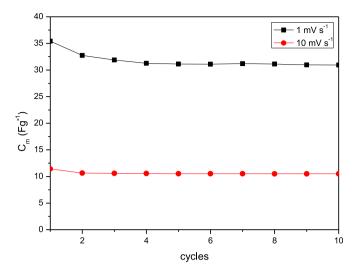


Fig. 4. Specific capacitance for Co_3O_4 film on ITO (at 1.0 and 10 mV s⁻¹).

$$Co_3O_4 + 4OH^- \rightleftharpoons 3CoO_2 + 2H_2O + 4e^-$$
 (10)

One can verify that, for the Co_3O_4 electrode, the peaks due to the reaction represented by Equation (10) are displaced for more positive potential because of an increase in the resistance of the ITO electrode after the heat treatment at 450 °C for 3 h . The resistance after the heat treatment was equal to 148.3 Ω .

The reversible process of the Co_3O_4 films is an important electrochemical behavior that has implications for their future application as supercapacitors and electrochromic materials.

The values of the densities of the anodic and cathodic charges found in each cycle can be used to evaluate the efficiency of the charge—discharge process. The charge efficiency (α) is given by:

$$\alpha = q_{\text{discharge}} / q_{\text{charge}}$$
 (11)

The charge efficiency of 77% was obtained after 10 cycles of Co_3O_4 electrodes at the scan rate of 1.0 mV s⁻¹.

The specific capacitance ($C_{\rm m}$) of the ${\rm Co_3O_4}$ electrodes is given by the following equation:

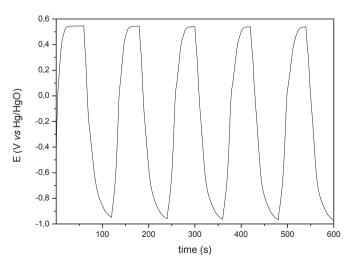


Fig. 5. Charge and discharge cycles for Co_3O_4 on ITO in KOH 1.0 mol L^{-1} , current of 111.1 mA g^{-1} , charge and discharge time of 60 s, and the active material mass of 0.0018 g.

$$C_{\rm m} = Q/m\Delta V \tag{12}$$

where Q is the cathodic charge obtained with the GPES software, m is the mass of Co_3O_4 , and ΔV is the variation of the final and initial potential scan.

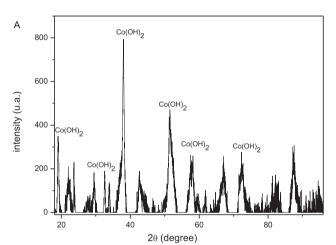
For the Co_3O_4 films, the specific capacitance over 10 cycles, ranging from 1.0 mV s⁻¹ and 10 mV s⁻¹, are shown in Fig. 4. The specific capacitance for 1.0 mV s⁻¹ and 10 mV s⁻¹ were about 31.2 F g⁻¹, and 10.5 F g⁻¹, respectively. It is observed that the scan specific capacitance is higher for the lower scan rate; this occurs because the diffusion of the electroactive electrolyte to the electrode surface is more effective and, consequently, the reactions of the charge transfers are favored.

The charge and discharge cycles are represented in Fig. 5, and they show good repeatability of the process undergone, which confirms that this oxide is a promising candidate for use in making electrode material. The charge and discharge current are 111.1 mA g^{-1} , and the specific capacitance of 4440 mF g⁻¹.

3.3. Characterization of the $Co(OH)_2$ and Co_3O_4 films by XRD and SEM

The Co(OH)₂ obtained by electrochemical precipitation on the ITO electrode presented an hexagonal crystal structure (JCPDS 30–443); the peaks of this structure are shown in Fig. 6A.

The typical XRD pattern for the Co_3O_4 obtained on the ITO electrode is shown in Fig. 6B. The peaks are related to Co_3O_4 with a



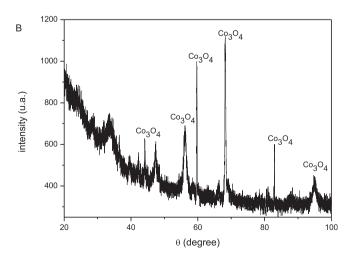
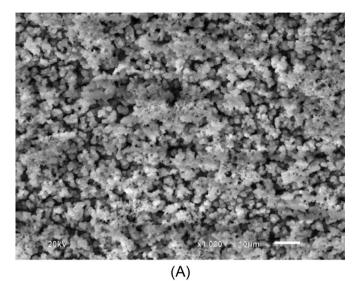


Fig. 6. X-ray diffraction spectrum, A) $Co(OH)_2$ recovered by electrochemical precipitation (20 C cm⁻²) and B) Co_3O_4 synthetized via $Co(OH)_2$ calcination.



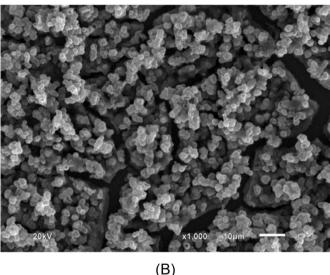


Fig. 7. Micrographs, A) $Co(OH)_2$ electrodeposited on ITO (20 C cm⁻²) and B) Co_3O_4 synthetized via Co(OH)2 calcination.

cubic structure (JCPDS 43-1003). Fig. 7 shows the micrographs of the Co(OH)2 and Co₃O₄ films. In Fig. 7A, the micrograph of the electrodeposited Co(OH)₂ reveals a very porous morphology with particles smaller than 10 μm that cover the entire substrate. As shown in Fig. 7B, after the heat treatment, fissures have appeared due to the desorption of the water and the impurities and the dehydroxylation reaction of Co(OH)₂ with the formation of Co₃O₄. That figure also shows an increase in the particle size as well as the formation of clusters of Co₃O₄ where the diffusion of the electrolyte on the electrode surface and the reactions of the charge transfers occur. These structures significantly support the redox activity, which reinforces the application of these materials in electrochemical devices.

4. Conclusions

In this work, Co(OH)₂ and Co₃O₄ were obtained using a solution to leach the cathodes of spent Li-ion batteries. The Co(OH)2, the source material to the Co₃O₄ synthesis, was electrodeposited onto the ITO substrate by the application of -0.85 V vs Ag/AgCl, with the charge density of 20 C cm⁻², and its efficiency was 66.67%. By the heat treatment of Co(OH)₂/ITO for 3 h at 450 °C, in an air atmosphere, Co₃O₄/ITO was obtained and it showed a conversion efficiency of 64.29%. XRD verified that the electrodeposit of Co(OH)₂ exhibited a hexagonal structure, while the obtained Co₃O₄ showed a cubic structure. The scanning electron microscopy (SEM) showed that the electrodeposition of Co(OH)2 was recovered on the ITO substrate, and it has a very porous surface with grains smaller than 10 um. Using the heat treatment of Co(OH)₂ to obtain Co₃O₄ resulted in the appearance of fissures, due to the impurities and water's desorption and the dehydroxylation of Co(OH)2. An increase of the particle size and the formation of Co₃O₄ clusters were also noticed, which makes the diffusion of the electrolyte and charge transfer reactions easier, and that supports the application of these materials in electrochemical devices.

In cyclic voltammetry of Co(OH)₂/ITO (1st cycle) three anodic peaks (vs Hg/HgO) were observed, indicating that at 0.19 V the oxidation of the Co(OH)₂ to Co₃O₄ occurs, at 0.30 V the Co₃O₄ is converted into CoOOH, and at 0.35 V the CoOOH is transformed into CoO_2 and water oxidation occurs. This indicates that the $Co(OH)_2$ ITO electrode is an interesting catalyst for the oxidation of water. The absence of cathodic peaks shows that oxidation from Co(OH)₂ to CoO₂ is an irreversible process. For the Co₃O₄/ITO electrode, this finding verifies the existence of a redox pair, at 0.54 V/0.49 V $(1.0~\text{mV}~\text{s}^{-1})$ and 0.56~V/0.46~V $(10~\text{mV}~\text{s}^{-1})$, associated with the reversible oxidation of Co₃O₄ to CoO₂. This electrode demonstrated a charge efficiency of 77% for the first 10 cycles (10 mV s^{-1}), specific capacitance of 31.2 F g^{-1} (1.0 mV s^{-1}) and 10.5 F g^{-1} (10 mV s^{-1}), and charge/discharge's cycles with good repeatability. Therefore, these electrochemical behaviors suggest that the Co₃O₄ electrode shows promising characteristics for applications in electrocatalysis and as pseudocapacitors.

In addition to providing important parameters for developing new technologies, this study demonstrates that obtaining Co(OH)2 and Co₃O₄ from recycled Li-ion batteries is possible and this finding adds value to a product that could be eventually improperly discarded and potentially harmful to human health and the environment.

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